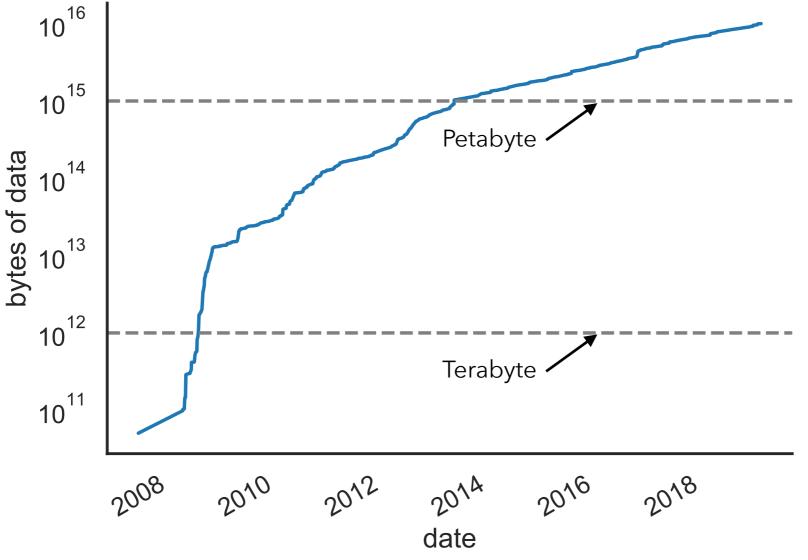
Hashing and AMQs for large-scale sequence search



Facing a New Challenge

The Sequence Read Archive (SRA) ...



is not searchable by sequence* ! (Yes, I know!)

This renders what is otherwise an immensely valuable public resource largely inert

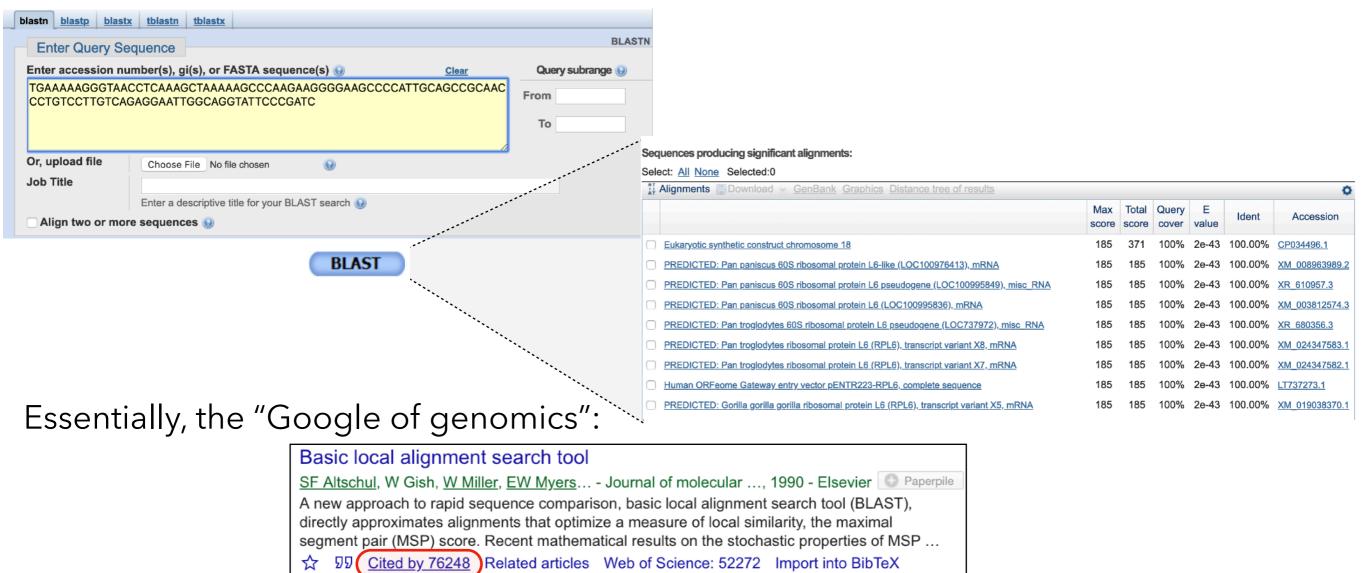
Q: What if I find e.g., a new disease-related gene, and want to see if it appeared in other experiments?

A: (basically) Too bad!

* there is an SRA BLAST, but functionality is limited

Facing a New Challenge

Contrast this situation with the task of searching *assembled*, *curated* genomes, For which we have an *excellent* tool; BLAST.



However, even the scale of *reference* databases requires algorithmic innovations:

_computational BIOLOGY COMMENTARY	Entropy-Scaling Search of Massive Biological Data
Compressive genomics	Y. William Yu, ^{1,2,3} Noah M. Daniels, ^{1,2,3} David Christian Danko, ² and Bonnie Berger ^{1,2,*} ¹ Department of Mathematics, Massachusetts Institute of Technology, Cambridge, MA 02139, USA ² Computer Science and Artificial Intelligence Laboratory, Massachusetts Institute of Technology, Cambridge, MA 02139, USA
Po-Ru Loh, Michael Baym & Bonnie Berger	³ Co-first author *Correspondence: bab@mit.edu
Algorithms that compute directly on compressed genomic data allow analyses to keep pace with data generation.	http://dx.doi.org/10.1016/j.cels.2015.08.004

Fast search of thousands of short-read sequencing experiments.

SBT introduced by Solomon and Kingsford.

Nature biotechnology. 2016 doi: 10.1038/nbt.3442

Problem:

The vast repository of publicly-available data (e.g., the SRA) is essentially unsearchable by sequence. Current solutions (BLAST, STAR) too slow. What if I find a novel txp and want to search the SRA for it? Solution:

A hierarchical index of k-mer content represented approximately via Bloom filters. Returns "yes/no" results for individual experiments \rightarrow "yes" results can be searched using more traditional methods.

Recall the bloom filter

Bloom Filters

Originally designed to answer *probabilistic* membership queries:

Is element e in my set S?

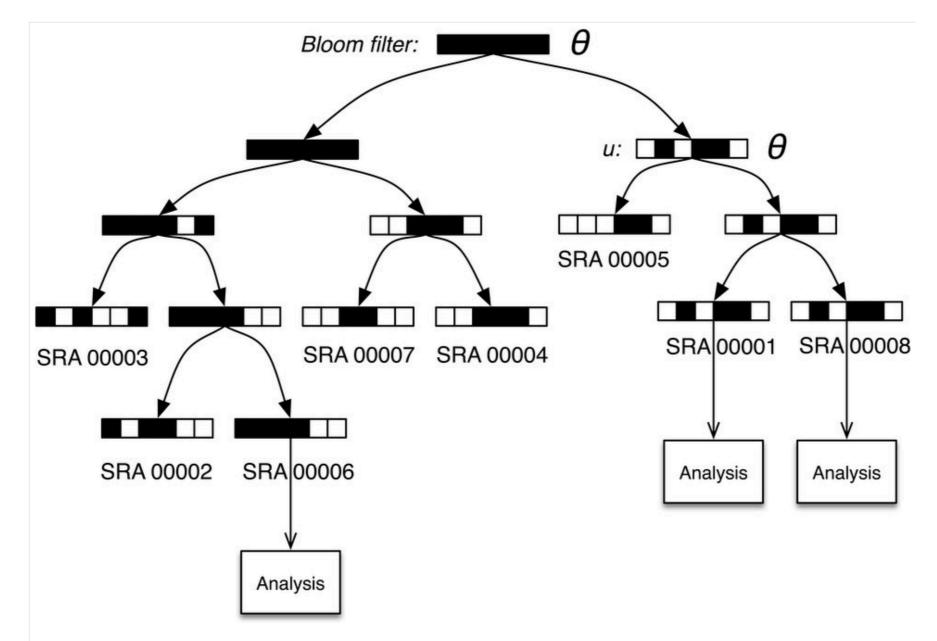
If yes, **always** say yes

If no, say no with large probability

False positives can happen; false negatives cannot.

SBT

An SBT is a binary tree of bloom filters, where leaves represent the k-mer set of a single *sample*.



Each node contains a bloom filter that holds the kmers present in the sequencing experiments under it. θ is the fraction of kmers required to be found at each node in order to continue to search its subtree. The SBT returns the experiments that likely contain the query sequence on which further analysis can be performed.

Solomon, Brad, and Carl Kingsford. "Fast search of thousands of short-read sequencing experiments." Nature biotechnology 34.3 (2016): 300-302.

Construction (repeatedly insert samples *s*):

Let b(s) be the bloom filter of sample s

Use b(s) to walk from the root of T to the leaves

For a node *u*:

If *u* has a single child, insert b(s) as the other child If *u* has 2 children recurse into child with < hamming dist to b(s) If *u* is a leaf (an experiment), create a parent with filter b(u) U b(s)

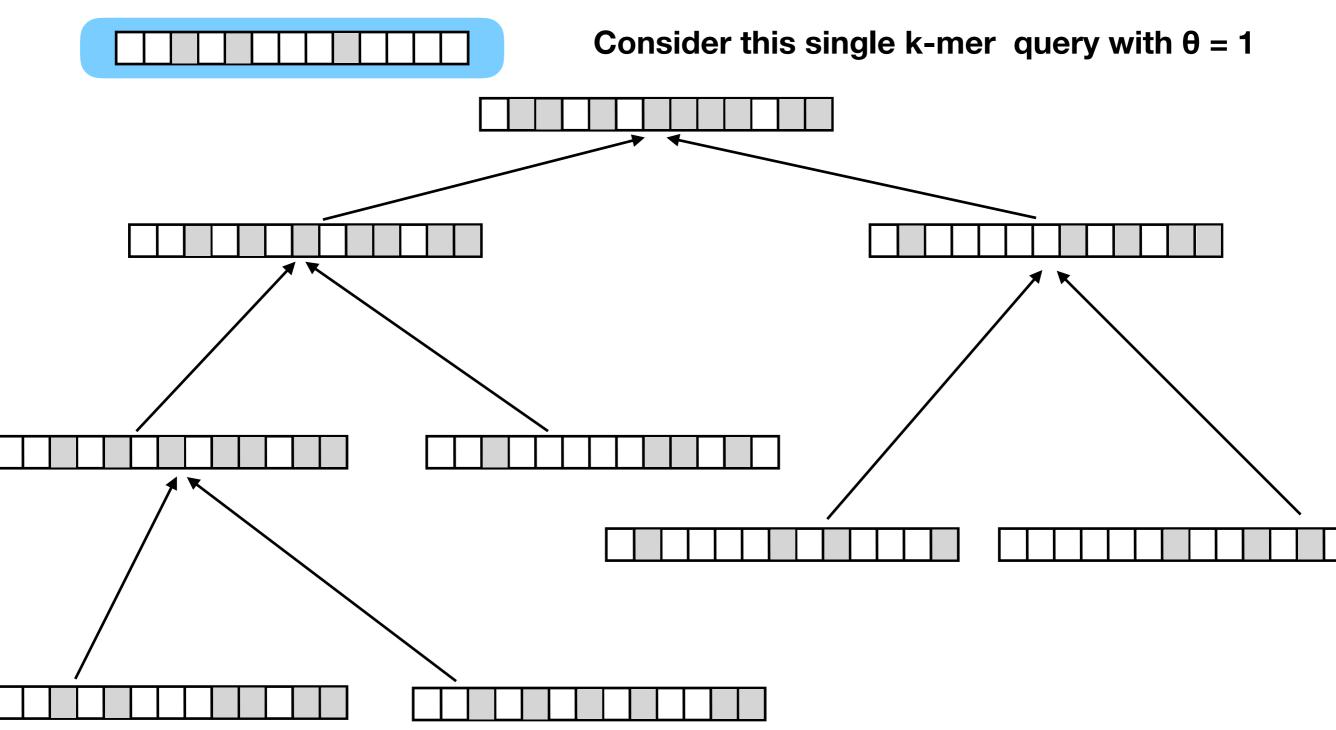
Query (given collection of k-mers K_q , parameter θ):

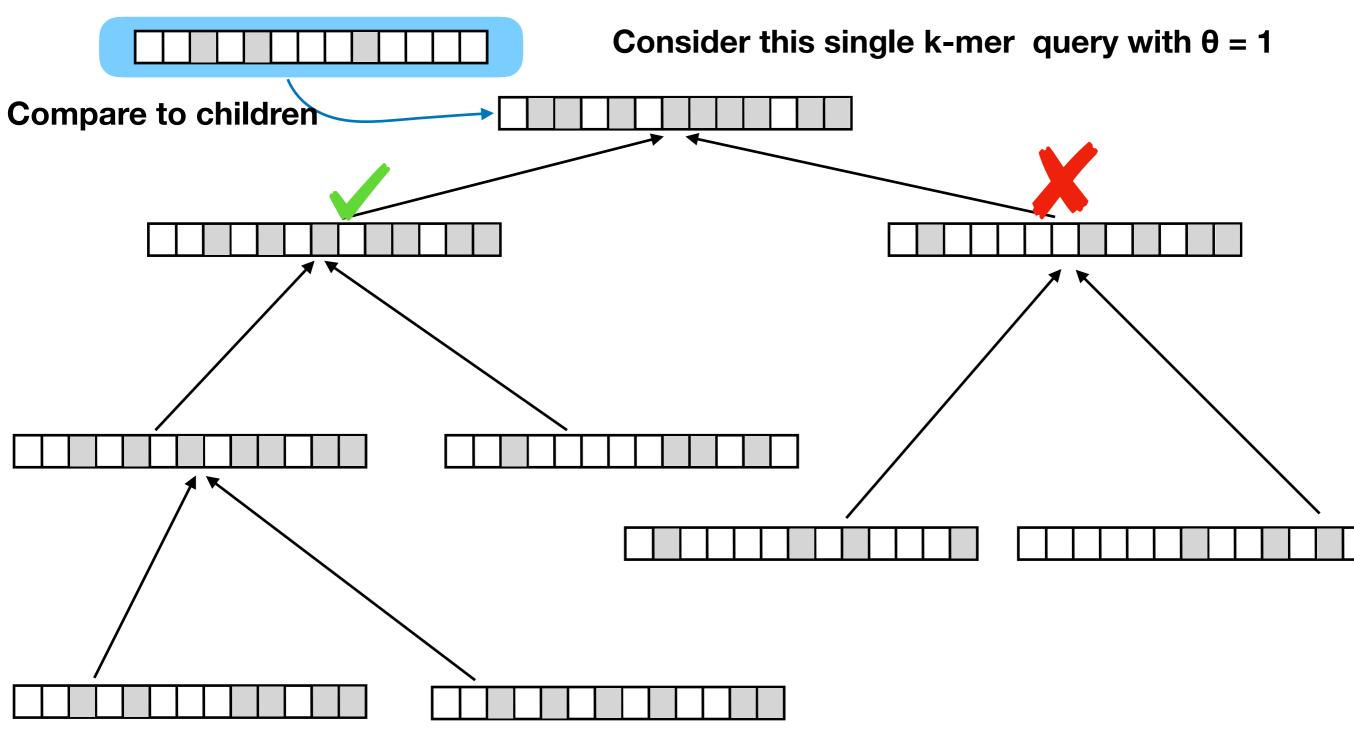
For a node *u*:

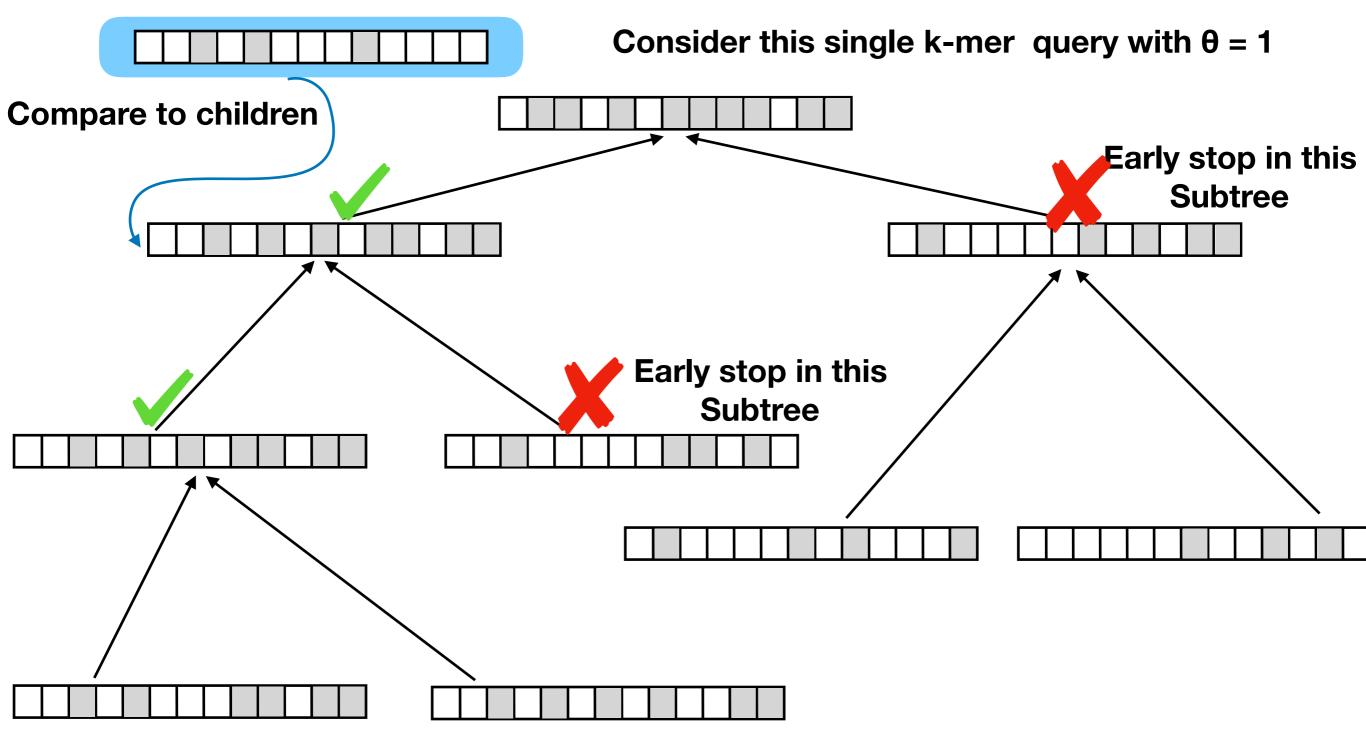
Hash elements of K_q and check if at least $\theta \mid K_q \mid k$ -mers exist **If not** then this sub-tree cannot θ -match our query

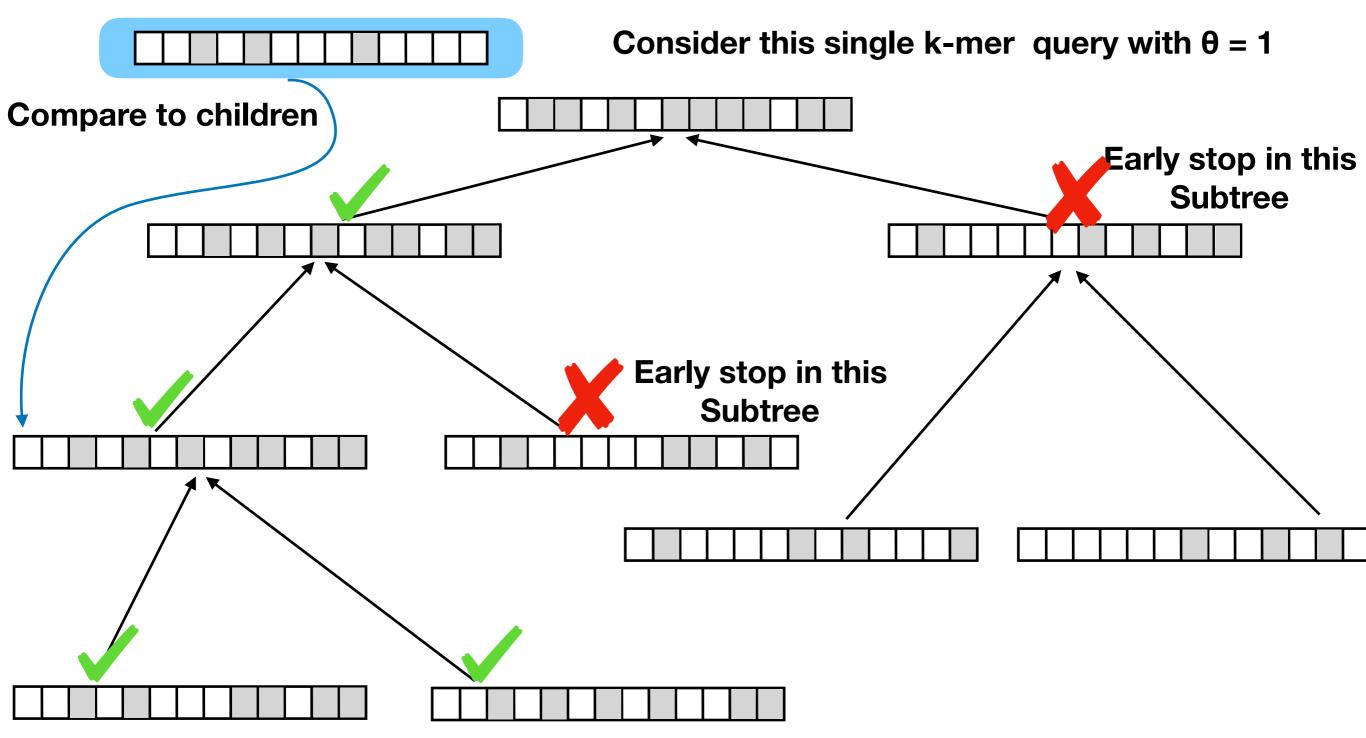
Else continue searching both children recursively

The implementation allows each query k-mer to be given a "weight" or importance.









Query (given collection of k-mers K_q , parameter θ):

Thm 2.

Let q be a query string containing ℓ distinct k-mers. If we treat the k-mers of q as being independent, the probability that > $\lfloor \theta \ell \rfloor$ false-positive k-mers appear in a filter U with FPR ξ is

$$\frac{1-\sum_{i=0}^{\lfloor \theta \ell \rfloor} \binom{\ell}{i} \xi^{i} \left(1-\xi\right)^{\ell-i}}{I-\xi}$$

Prob of > $\lfloor \theta \ell \rfloor$ false positives is simply the difference from 1 Prob of $\leq \lfloor \theta \ell \rfloor$ false positives.

Because of assumed indolence of q, these are independent Binomial trials with "success" rate ξ

SBT Tricks

High false positive rate lets filters be small (& use only a single hash)

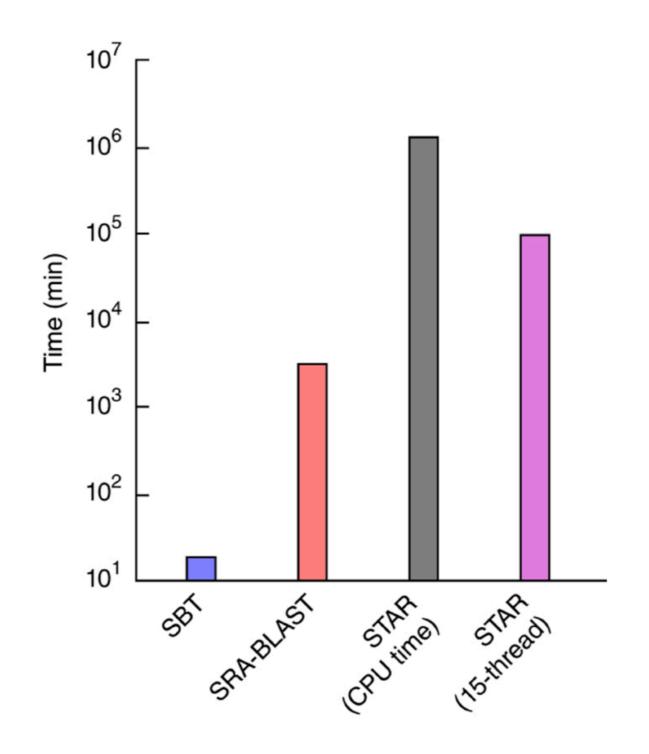
Insert in leaves only k-mers occurring > c times, set

as follows: $count(s_i) = 1$ if s_i is 300 MB or less, $count(s_i) = 3$ for files of size 300–500 MB, $count(s_i) = 10$ for files of size 500 MB–1 GB, $count(s_i) = 20$ for files between 1 GB and 3 GB, and $count(s_i) = 50$ for files > 3 GB or larger FASTA files.

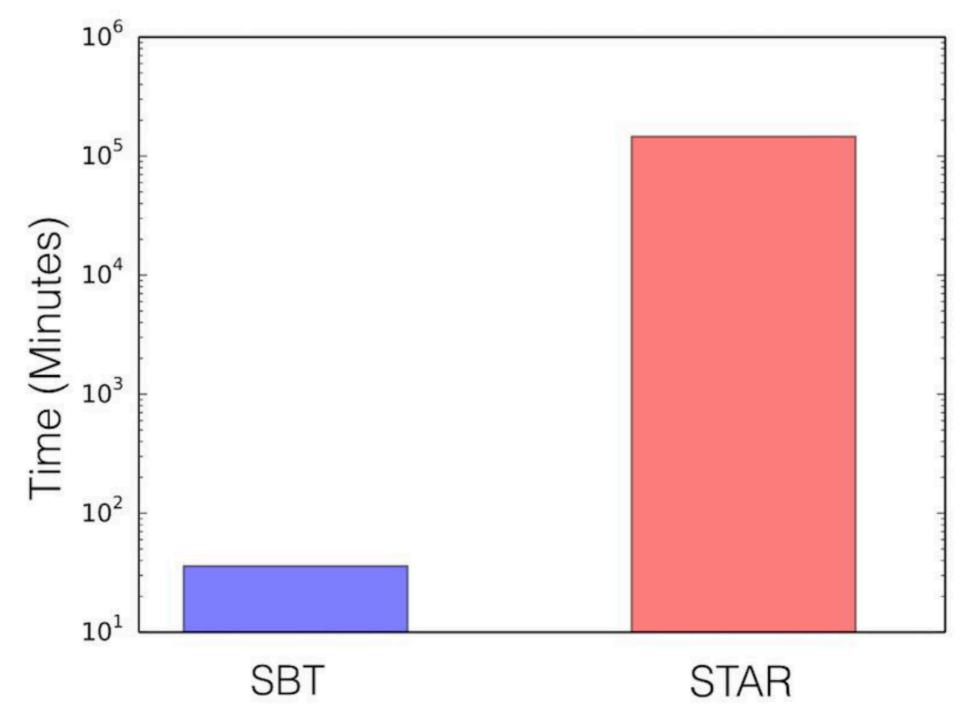
Store Bloom filter as RRR-compressed bit vectors. Greatly reduces storage space. Individual bits can be accessed *without* decompression in O(log m) time.

SBT Speed

Average search time for a single transcript over 2,652 RNA-seq experiments in the SRA for human blood, breast and brain tissues



SBT Speed

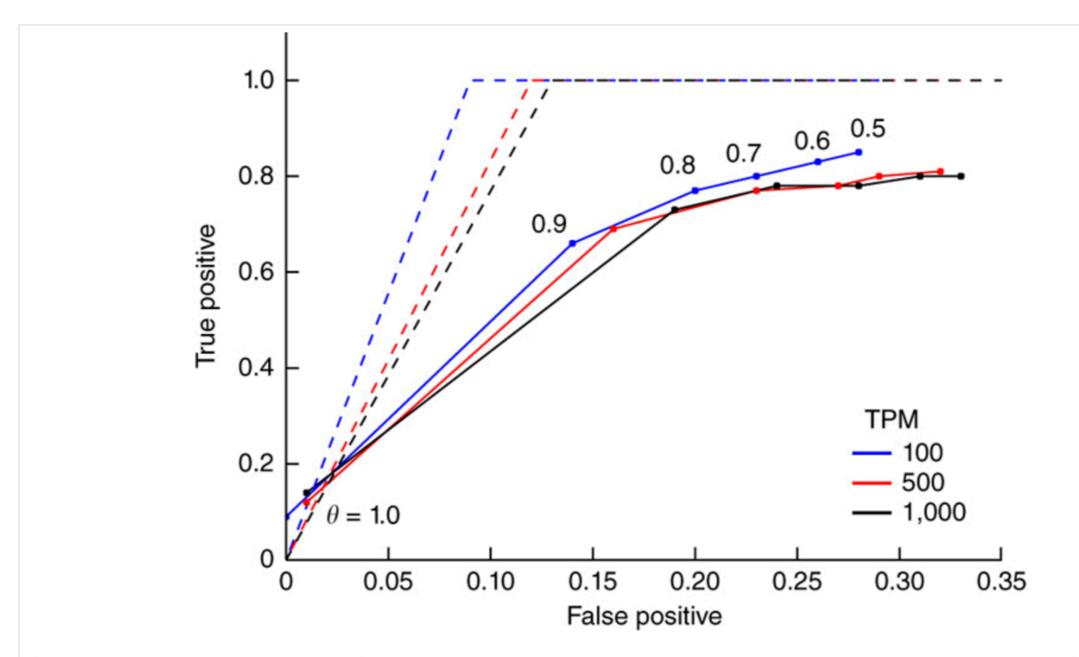


Supplementary Figure 2

Comparison with STAR on batched queries.

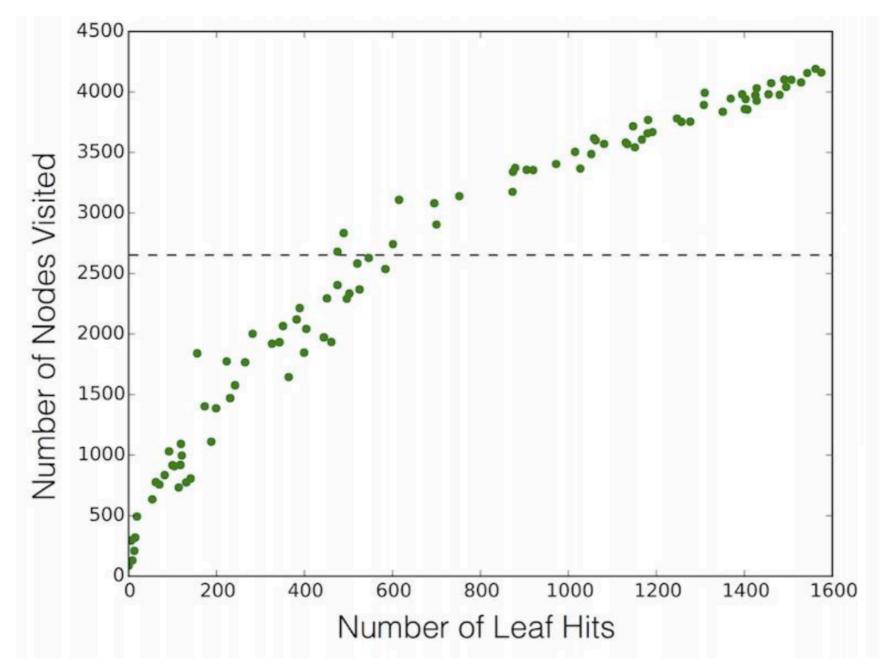
STAR was run using an index built from 100 batch-queries and a size 11 pre-index string. Both SBT and STAR were run using one thread and SBT was limited to a single filter in RAM. SBT is an estimated 4056 times faster than STAR under these conditions. STAR times are estimated from extrapolating from querying 100 random SRR files.

SBT Accuracy



Solid lines represent mean true-positive and false-positive rates, dashed lines represent the median rates on the same experiments. Relaxing θ leads to a higher sensitivity at the cost of specificity. In more than half of all queries, 100% of true-positive hits can be found with θ as high as 0.9.

SBT Efficiency

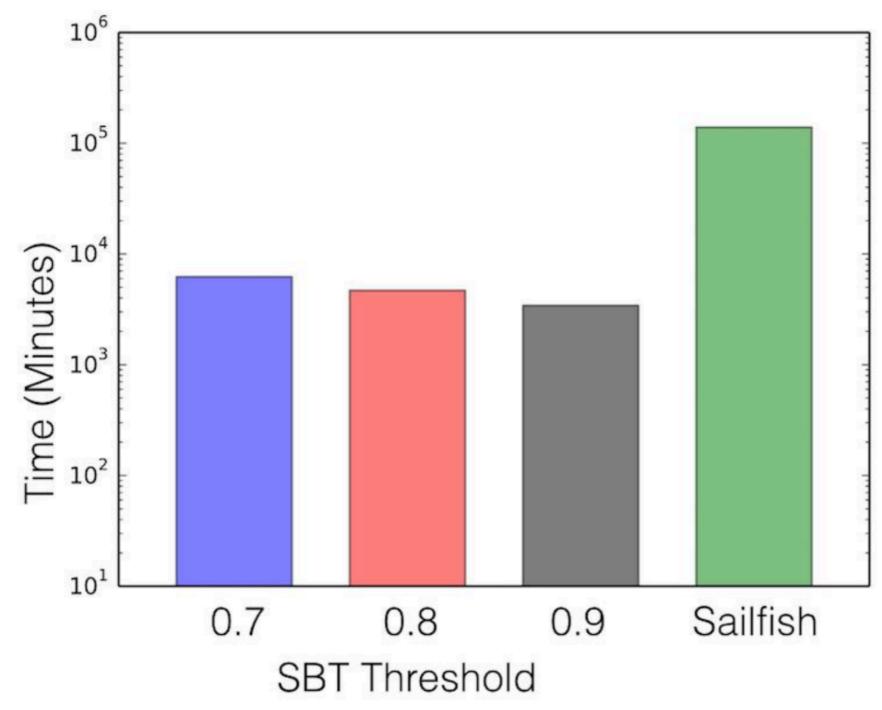


Supplementary Figure 5

Total number of Sequence Bloom Tree nodes visited as a function of the number of leaf hits when querying 100 random human transcripts in the Low query set.

Number of nodes includes both internal and leaf nodes of the SBT. Each point represents a single query. When a query is found in many of the leaves, the query must also visit a nearly equal number of internal tree nodes, and so the tree structure would not provide any benefit over merely searching all the leaf filters directly. On the other hand, when the query is found in only a few leaves, the total number of nodes visited can be significantly smaller than the number of leaves. For the SBT built here, we find that for queries that are found in 600 or fewer leaves, the tree structure and internal nodes result in an improvement of overall efficiency by visiting fewer than 2652 nodes. A naive approach that did not use the tree would require querying 2652 leaf filters for all queries (denoted by dashed line). Approximately half of the randomly selected queries known to be expressed in the included experiments fall below this threshold.

SBT Efficiency



Supplementary Figure 8

Time for querying all known human transcripts.

Total times (single-threaded) for querying all 214,293 human transcripts (in batch mode) against all publicly available blood, breast, and brain RNA-seq experiments in the SRA for θ = 0.7, 0.8, 0.9 as well as the extrapolated time to run Sailfish on the full dataset. Sailfish is significantly faster than nearly all other algorithms for RNA-seq quantification.

Two improved SBT-related papers (RECOMB 2017)

Improved Search of Large Transcriptomic Sequencing Databases Using Split Sequence Bloom Trees

Brad Solomon¹ and Carl Kingsford^{*1}

AllSome Sequence Bloom Trees

Chen Sun^{*1}, Robert S. Harris^{*2} Rayan Chikhi³, and Paul Medvedev^{†1,4,5}

Both share a core idea

All Some SBT:

$$egin{aligned} B_{all}(u) &= B_\cap(u) \setminus B_\cap(parent(u))\ B_{some}(u) &= B_\cup(u) \setminus B_\cap(u) \end{aligned}$$

present in all leaves below u, but not in u's parent present in some (but not) all leaves below u

Split sequence bloom tree (SSBT):

Store 2 filters at each node, r_{sim} and r_{rem}

$$r_{sim} = \bigcap_{i=0}^{n} b_i$$
 present in all leaves below r
 $r_{rem} = \bigcup_{i=0}^{n} (b_i - r_{sim})$ present in some (but not) all leaves below r

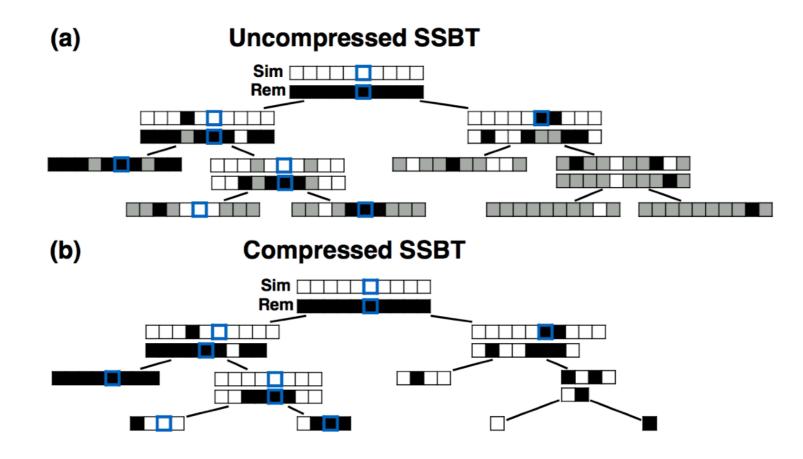
Both share a core idea

This allows an immediate optimization

if r_{sim} or B_{all} match the query, we can add all leaves below r/u without explicitly continuing the search

The details differ

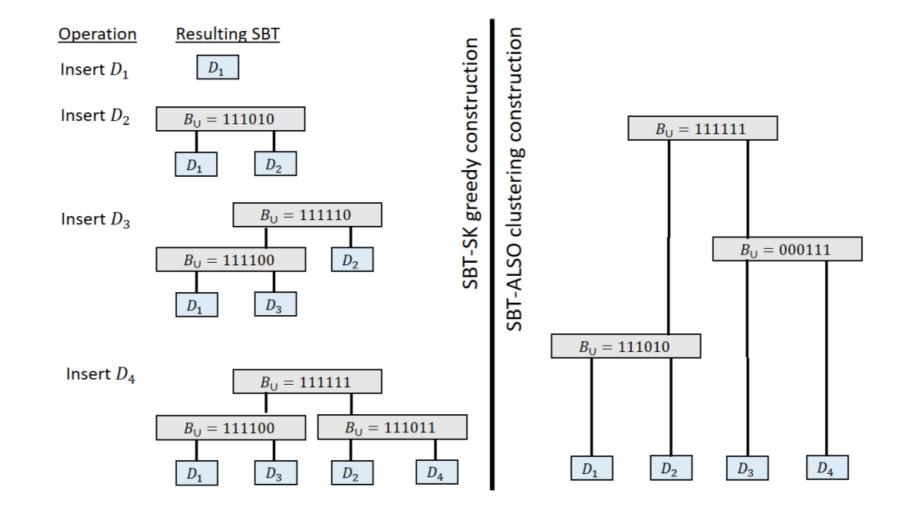
SSBT *explicitly* removed redundant elements



Both share a core idea

The details differ

SBT-AllSome don't explicitly remove these bits, but they optimize tree construction to put similar filters together (agglomerative clustering)



SSBTs take longer to build than the original but require considerably less memory to store.

Data Index	BFT	SBT	SSBT
Build Time (Min)	195	6	19
Compression Time (Min)	-	6.5	17
Total Time (Min)	195	12.5	36

Table 4: Build and compression times for SBT, SSBT, and BFT constructed from a 50 experiment set. As SBT and SSBT were designed to be queried from a compressed state, we compare the time to build and compress against BFT's time to build.

Data Index	SBT	Split SBT
Build Time	18 Hr	78 Hr
Compression Time	17 Hr	19 Hr
Uncompressed Size	1295 GB	1853 GB
Compressed Size	200 GB	39.7 GB

Table 2: Build statistics for SBT and SSBT constructed from a 2652 experiment set. The sizes are the total disk space required to store a bloom tree before or after compression. In SSBT's case, this compression includes the removal of non-informative bits.

Data Index	BFT	SBT	SSBT
Build Peak RAM (GB)	23	21.5	15.6
Compress Peak RAM (GB)	-	24.2	16.2
Uncompressed Size (GB)	9.2	24	35
Compressed Size (GB)	-	3.9	0.94

Table 3: Build and compression peak RAM loads and on-disk storage costs for SBT, SSBT, and BFT constructed from a 50 experiment set. BFT does not have a built-in compression tool and cannot be queried when compressed. For these reasons, the uncompressed BFT is compared against the compressed SBT/SSBT.

SSBTs are also faster to query than SBTs

Index	TPM \geq 100	$TPM \ge 500$	$TPM \ge 1000$
BFT	75 Sec (11.8 GB)	75 Sec (11.8 GB)	75 Sec (11.8 GB)
SBT	19 Sec (2.9 GB)	21 Sec (3.1 GB)	22 Sec (3.2 GB)
SSBT	5.8 Sec (0.64 GB)	6.2 Sec (0.65 GB)	6.3 Sec (0.66 GB)

Table 5: Comparison in query timing (and average peak memory) between SBT, SSBT, and BFT indices for 50 experiments.

Index	$TPM \ge \!\! 100$	TPM \geq 500	$TPM \ge 1000$
SBT	19.7 Min	20.7 Min	20 Min
SSBT	3.7 Min	3.8 Min	3.6 Min

Table 6: Comparison in query timing between SBT and SSBT for 2652 experiments.

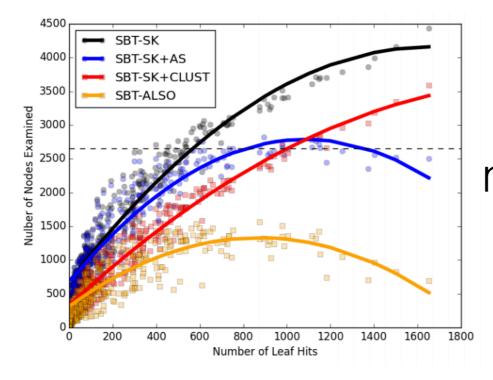
Query Time:	<i>θ</i> =0.7	θ=0.8	θ=0.9
SBT	20 Min	19 Min	17 Min
SSBT	3.7 Min	3.5 Min	3.2 Min
RAM SSBT	31 Sec	29 Sec	26 Sec

Table 7: Comparison of query times using different thresholds θ for SBT and SSBT using the set of data at TPM 100.

AllSome SBTs are faster to construct than SBT (called SBT-SK here), but not much smaller.

	SBT-SK	SBT-ALSO
construction of tree topology (i.e. clustering)	N/A	27m
construction of internal nodes	56h 54m	26h 3m
temporary disk space	1,235 GB	2,469 GB
final disk space	200 GB	177 GB

Table 1. Construction time and space. Times shown are wall-clock times. A single thread was used. Note the SBT-SK tree that was constructed for the purposes of this Table differs from the tree used in [36] and in our other experiments because the insertion order during construction was not the same as in [36] (because it was not described there).



They examine fewer nodes than the original SBT too

Fig. 3. Number of nodes examined per query for SBT-SK, SBT-ALSO, as well two intermediate SBTs. A set of 1,000 transcripts were chosen at random from Gencode set, and each one queried against the four different trees. A dot represents a query and shows the number of matches in the database (x-axis) compared to the number of nodes that had to be loaded from disk and examined during the search (y-axis). For each tree (color), we interpolated a curve to show the pattern. The dashed horizontal line represents the hypothetical algorithm of simply checking if the query θ -matches against each of the database entries, one-by-one. For θ , we used the default value in the SBT software ($\theta = 0.9$).

Which makes them faster to query than the original SBT as well.

	SBT-SK	SBT-SK+CLUST	SBT-ALSO
1 query 10 queries 100 queries 1,000 queries 198,074 queries	1m 11s / 301 MB 4m 4s / 305 MB 7m 44s / 315 MB 25m 31s / 420 MB 3081m 42s / 22 GB	56s / 299 MB 3m 17s / 304 MB 6m 31s / 317 MB 17m 22s / 418 MB	34s / 301 MB 2m 4s / 313 MB 4m 44s / 353 MB 8m 23s / 639 MB
198,074 queries	5001111 428 / 22 GB	-	462m 39s / 63 GB

Table 2. Query wall-clock run times and maximum memory usage, for batches of different sizes. For the batch of 1,000 queries, we used the same 1,000 queries as in Figure 3. For the batch of 100 queries, we generated three replicate sets, where each set contains 100 randomly sampled transcripts without replacement from the 1,000 queries set. For the batch of 10 queries, we generated 10 replicate sets by partitioning one of the 100 query sets into 10 sets of 10 queries. For the batch of 1 query, we generated 50 replicate sets by sampling 50 random queries from Gencode set. The shown running times are the averages of these replicates. A dash indicates we did not run the experiment. For θ , we used the default value in the SBT software ($\theta = 0.9$).

	SBT-SK	SBT-ALSO		
	regular alg	regular alg	large exact alg	large heuristic alg
query time query memory	1397m 18s 2.3 GB	195m 33s 4.7 GB	10m 35s 1.3 GB	8m 32s 1.2 GB

Table 3. Performance of different trees and query algorithms on a large query. We show the performance of SBT-SK and three query algorithms using SBT-ALSO compressed with ROAR: the regular algorithm, the large exact algorithm, and the large heuristic algorithm. We show the wall-clock run time and maximum RAM usage. We used $\theta = 0.8$ for this experiment. The ROAR compressed tree was 190 GB (7.3% larger than the RRR tree).



 Article
 Published: 04 February 2019

 Ultrafast search of all deposited bacterial and viral genomic data

 Phelim Bradley, Henk C. den Bakker, Eduardo P. C. Rocha, Gil McVean & Zamin Iqbal

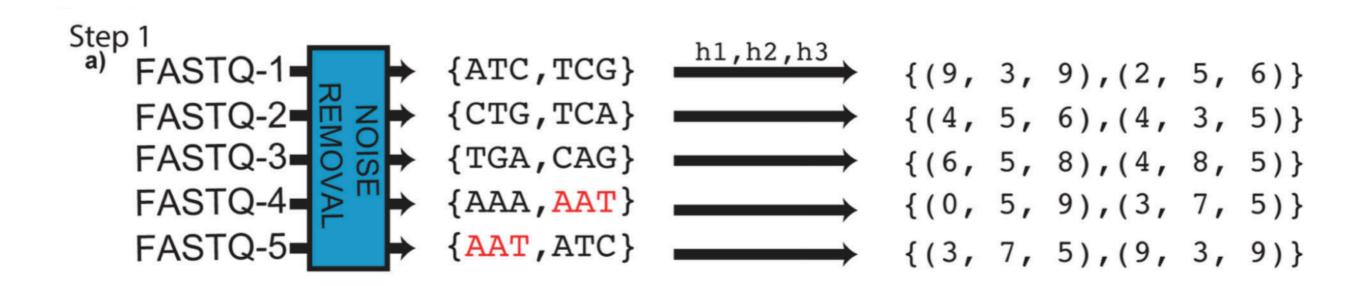
 Nature Biotechnology 37, 152–159 (2019)
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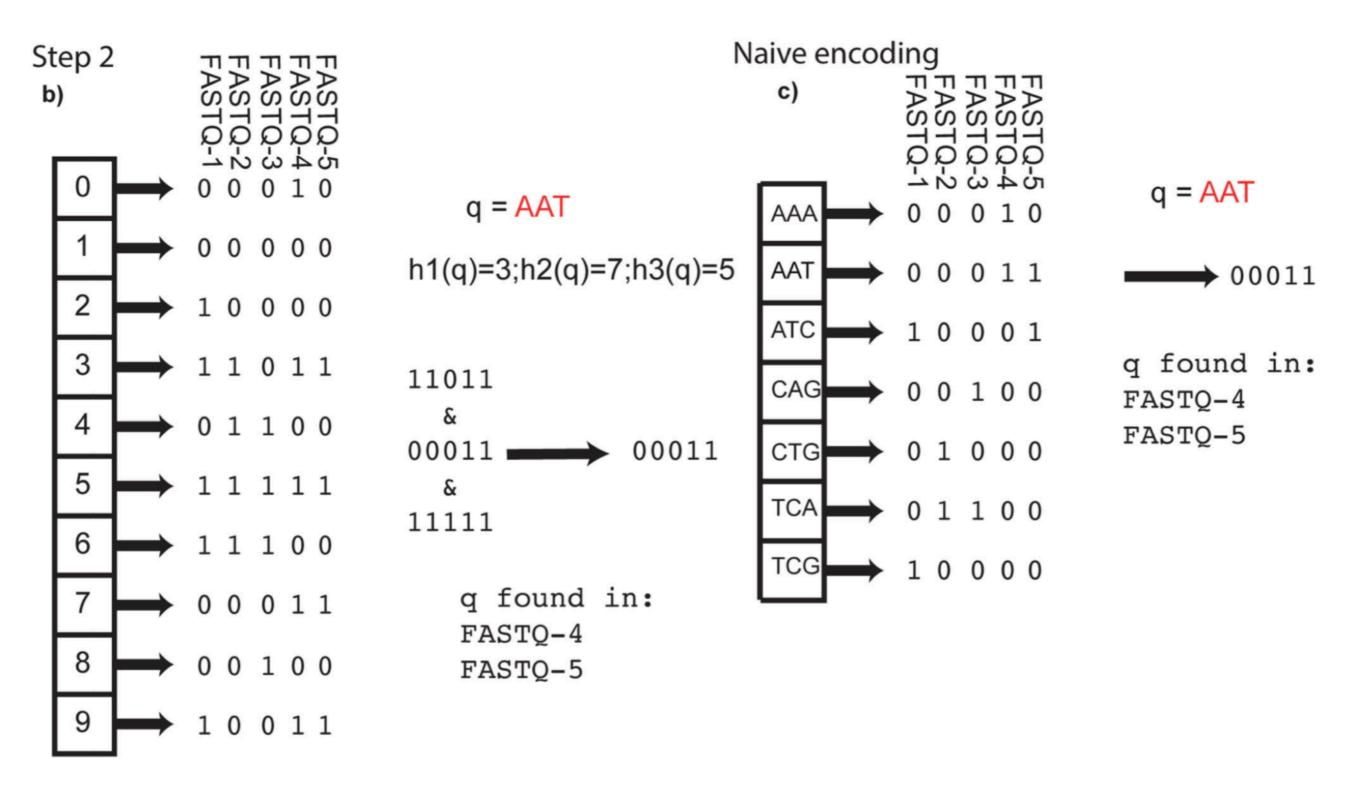


Bitsliced Genomic Signature Index (BIGSI)

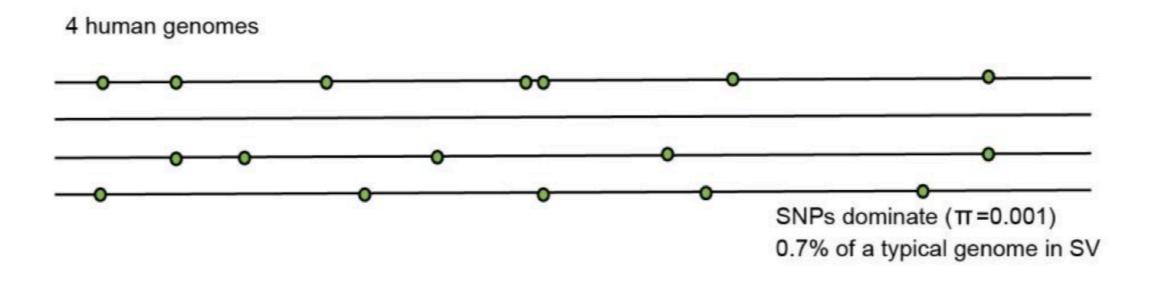
Conceptually, a *matrix* of Bloom filters where each column is a Bloom filter.



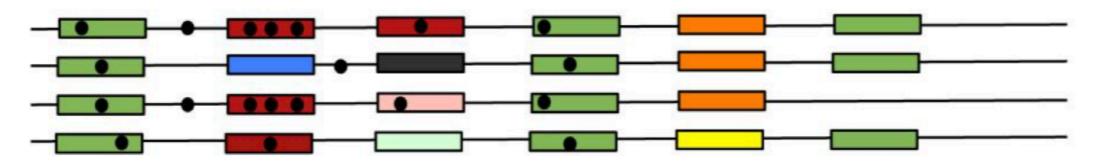
BIGSI



Why a different design?

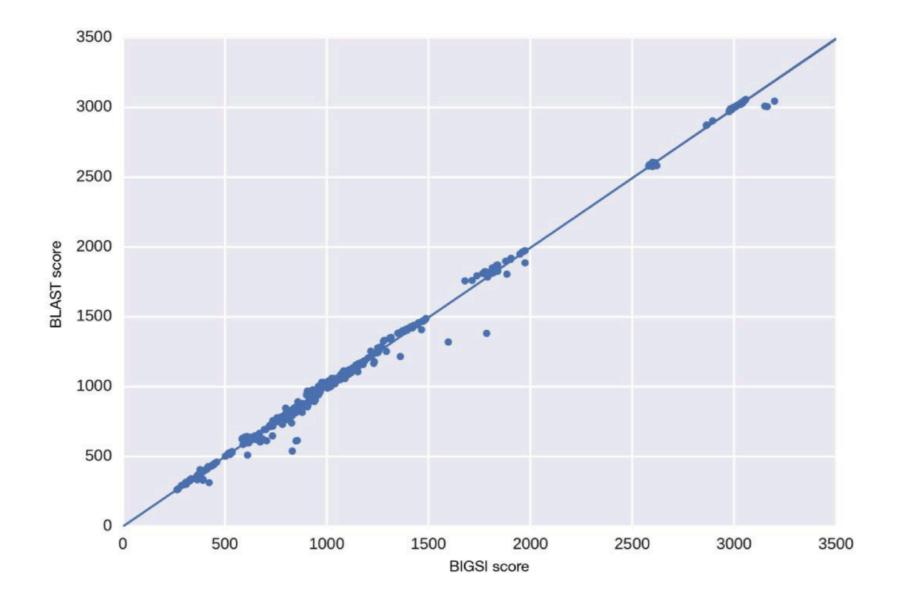


4 E. coli genomes



Two genomes share 60% of genes (π =0.02)

For long queries, BIGSI lets you find the genomes of interest

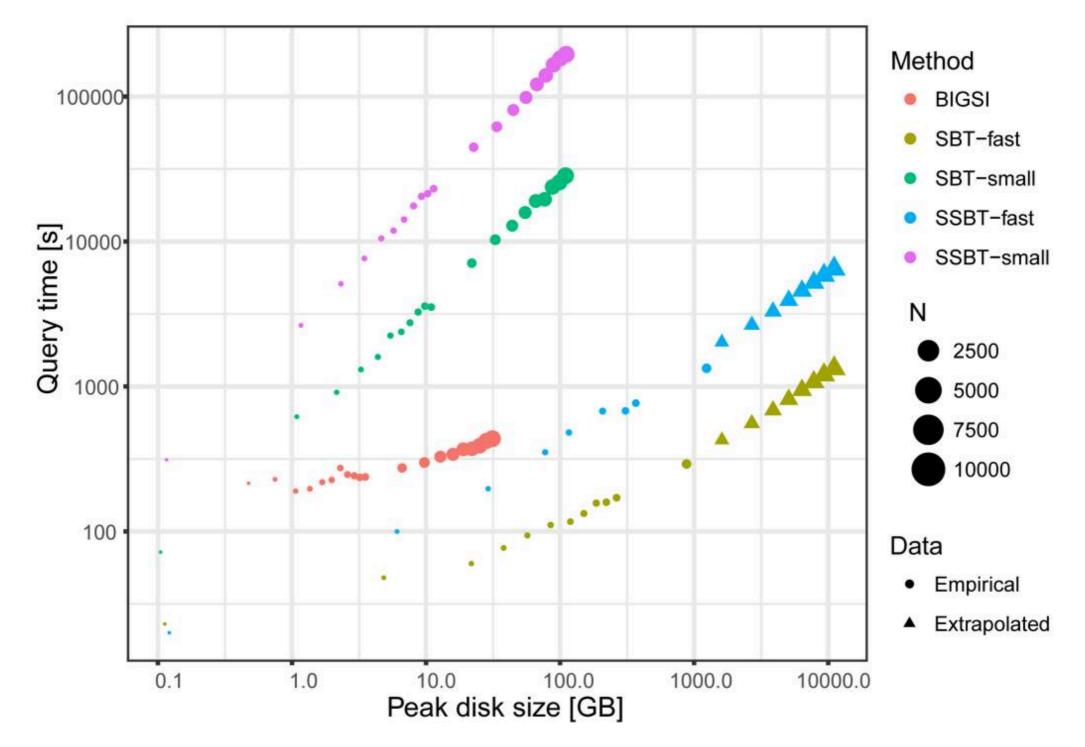


Supplementary Figure 3

BIGSI scores vs. megaBLAST scores.

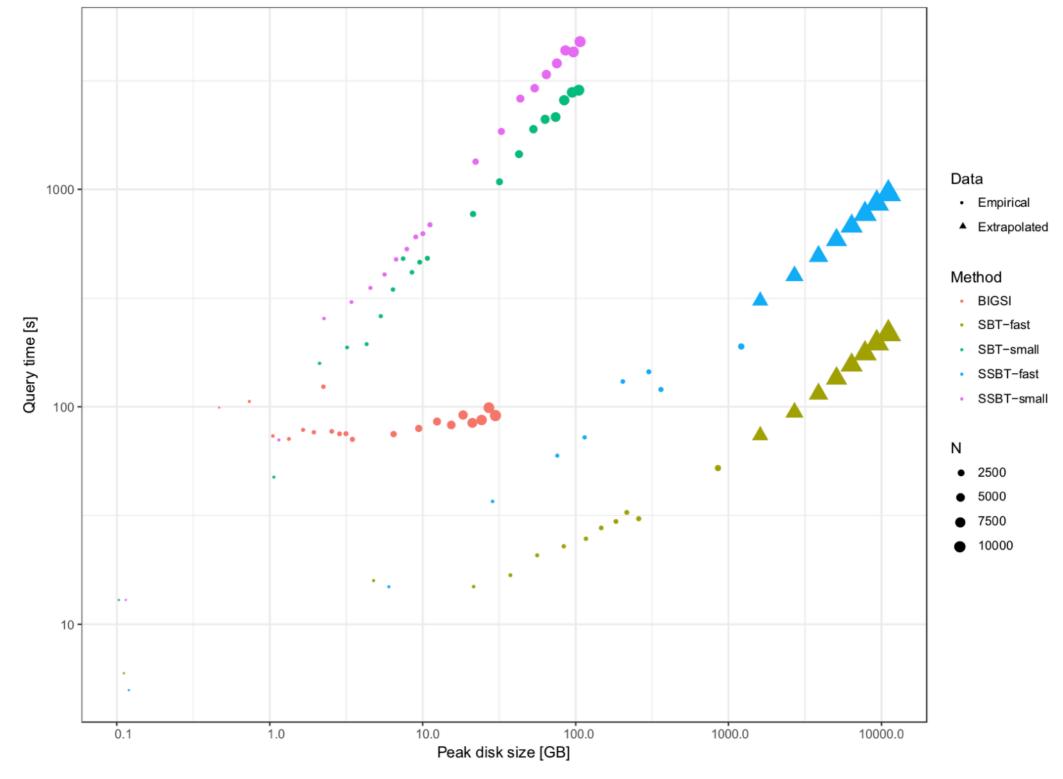
megaBLAST scores for a search of 100 antimicrobial resistance genes in a BLAST database of RefSeq-81 vs. the equivalent BIGSI scores in a search of a BIGSI of RefSeq-81. Pearson correlation of the scores was r = 0.998.

Size of different indices



Benchmarking of BIGSI against fast and small versions of each of SBT and SSBT, using a set of 2,157 antimicrobial resistance genes as a query dataset. We performed inexact search (T = 40%) and show query speed vs. peak disk size when searching databases of sizes from 10–10,000 microbial datasets. Both axes are on a log scale; the diameter of a dot represents the number of datasets indexed. To compare two methods it is necessary to compare dots of the same size. The ideal method would produce dots towards the bottom-left. For database sizes greater than 2000, we were unable to build the SBT-fast/SSBT-fast as their uncompressed disk usage exceeded available space; triangles signify estimated values based a calculated lower bound for disk use (as k-mer content is known), and extrapolated query times (<u>Online Methods</u>).

Size of different indices



Supplementary Figure 4

Speed-space tradeoffs for exact-match queries.

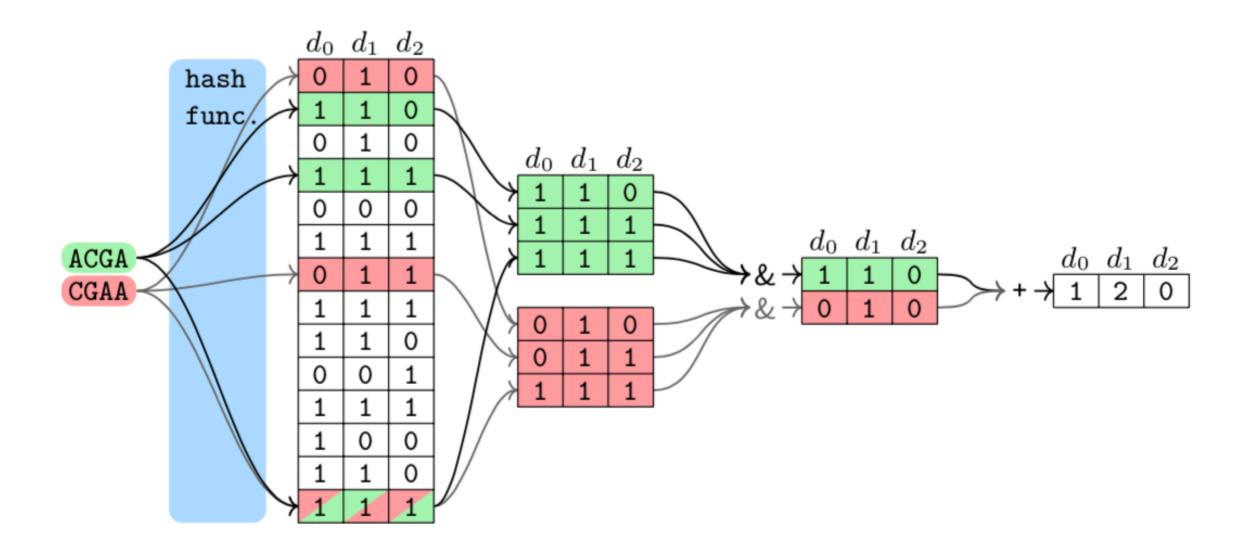
We show query time for 2,157 antimicrobial resistance genes with T = 100% vs. peak disk size when searching databases of sizes from 10–10,000 microbial datasets. BIGSI's query time does not increase significantly with N, as in this regime the query time is dominated by the constant time row lookups, rather than the bit-wise AND calculations.

COBS: a Compact Bit-Sliced Signature Index Timo Bingmann¹, Phelim Bradley², Florian Gauger¹, and Zamin Iqbal² ¹ Institute of Theoretical Informatics, Karlsruhe Institute of Technology, Germany ² European Molecular Biology Laboratory, European Bioinformatics Institute, Cambridge, United Kingdom

Like BIGSI (same basic structure), but use different sized Bloom filters for "documents" of different size.



Conceptual query scheme for BIGSI & COBS



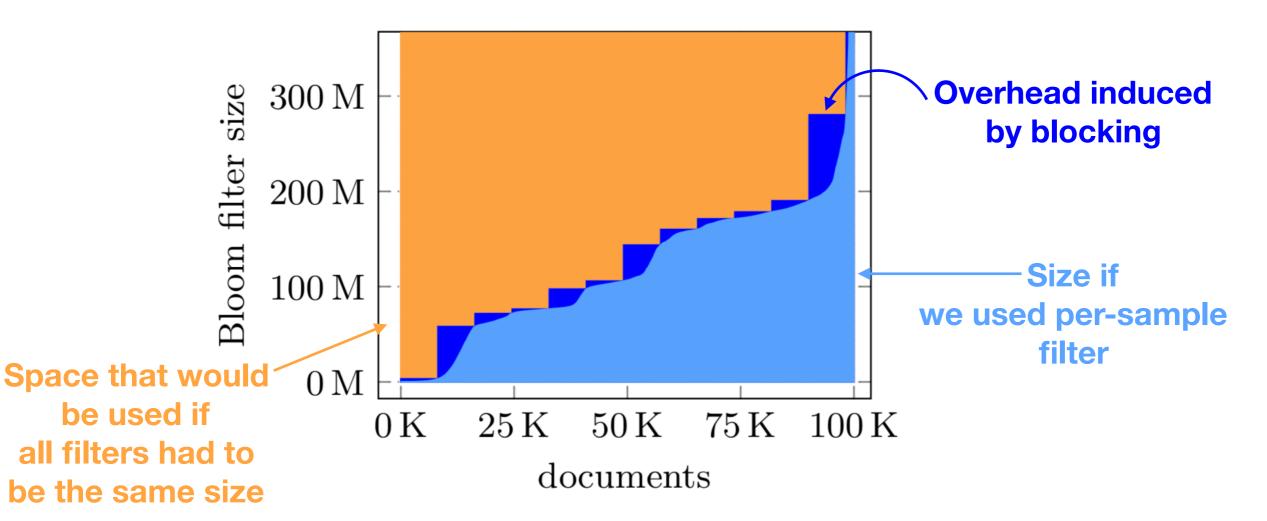
COBS

Idea: Some samples are small, some samples are medium, and some are big

- Q: Why use a Bloom filter of the same size for all of them?
- A : Don't!
- Q : So, use a different size for each experiment?
- A : No, we want to store filters in chunks, and per-sample sizes make this hard.

Solution: Put samples into "blocks" based on the filter size we use.

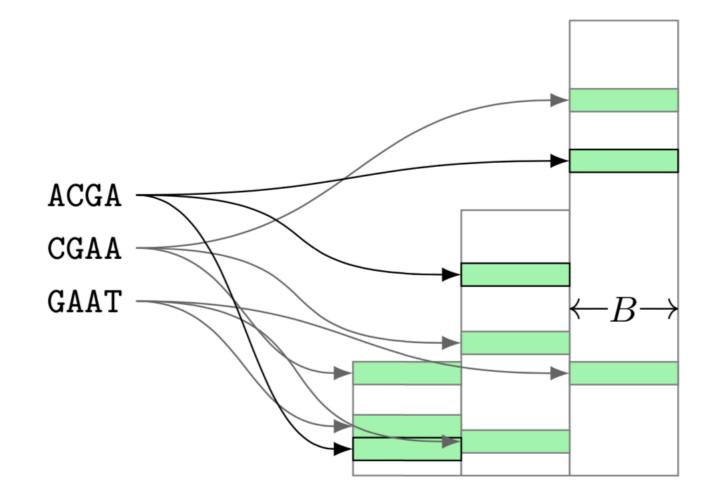
In practice, use a batch size of e.g. 8192 experiments



COBS

To search, we must look up results in filter blocks of all sizes. To avoid having to hash the keys multiple times, COBS uses the following idea:

Instead of calculating a new hash function for each filter, we propose to use only one function with a larger output range and then use a modulo operation to map it down to each individual filter's size



COBS

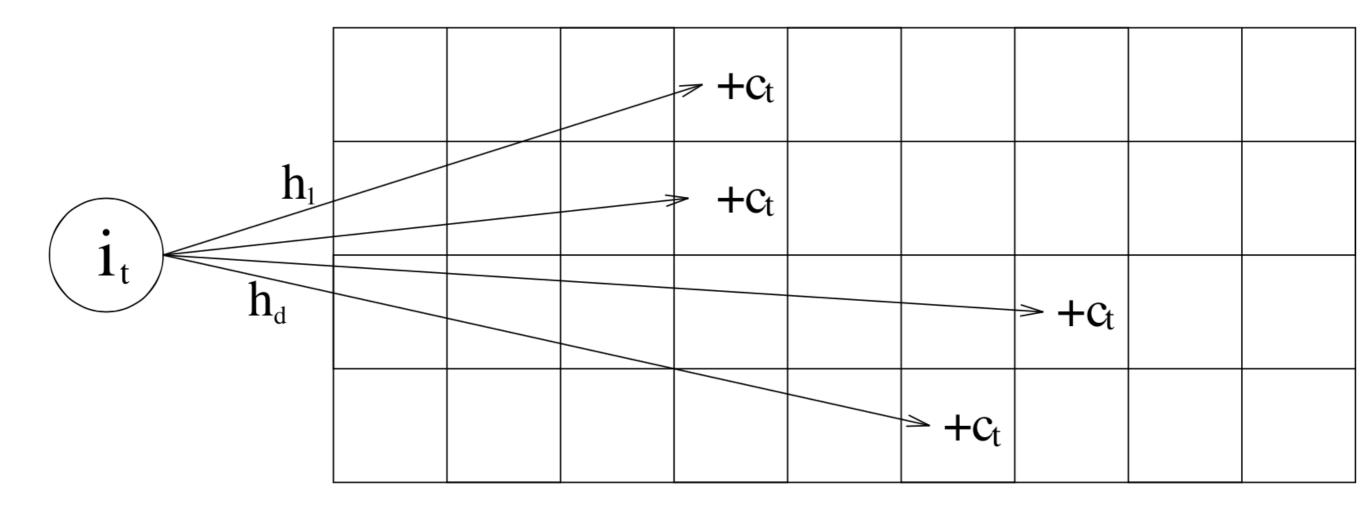
phase	SBT		llSome- SBT	HowDe- SBT	Seq- Othello	Mantis	BIGSI	ClaBS	COBS	
l	Query Wall-Clock Time in Seconds									
31 bp r0	31	80	20	34	62	12	281	10	8	
31 bp r2	26	76	19	33	62	13	289	9	8	
100 bp r0	663	3183	100	600	73	22	783	14	9	
100 bp r2	649	3153	95	588	73	23	455	14	9	
1000 bp r0	794	3466	112	670	63	21	660	15	10	
1000 bp r2	781	3435	108	659	64	27	310	13	10	
$10000 \ \mathrm{bp} \ \mathrm{r0}$	802	3273	112	622	62	23	699	16	11	
$10000~{\rm bp}~{\rm r}2$	790	3243	111	613	62	22	316	15	11	
total r0–r2	6775	29833	1007	5710	783	252	5177	154	114	
	Document False Positive Rate for 31 bp Queries									
rate	0.004	0.004	0.004	0.004	0.001	0.000	0.027	0.024	0.227	

	Index Size in MiB									
size	19844	3254	21335	1911	4410	16486	$27794\ \ 16236$	3022		

Intermezzo : The Count Min Sketch

A probabilistic data structure for counting :

Instead of a an array of m-bits, store a 2D, array, CM, of size d x w - d is called the depth of the array, and there are d *independent* hash functions, w is called with width of the array. This is an O(wd) data structure.



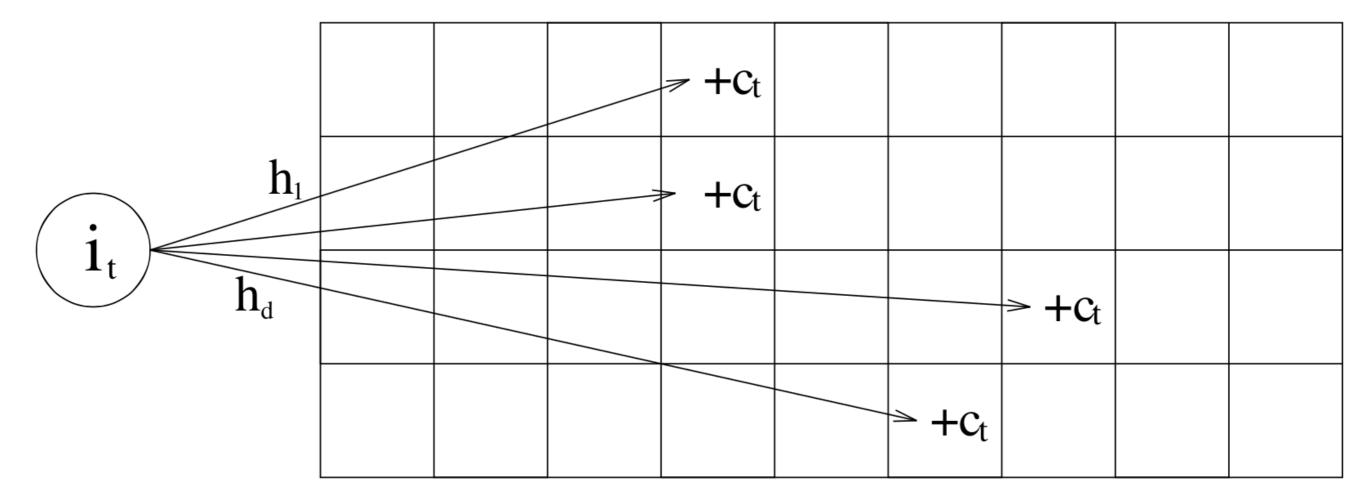
Intermezzo : The Count Min Sketch

Like Bloom filters, 2 mains operations:

Update (k, v) — for each entry CM[i, $h_i(k)$], where 0 < i < d, increment the value by v.

Query (k) — compute v = $\min_{0 \le i \le d} CM[i, h_i(k)]$

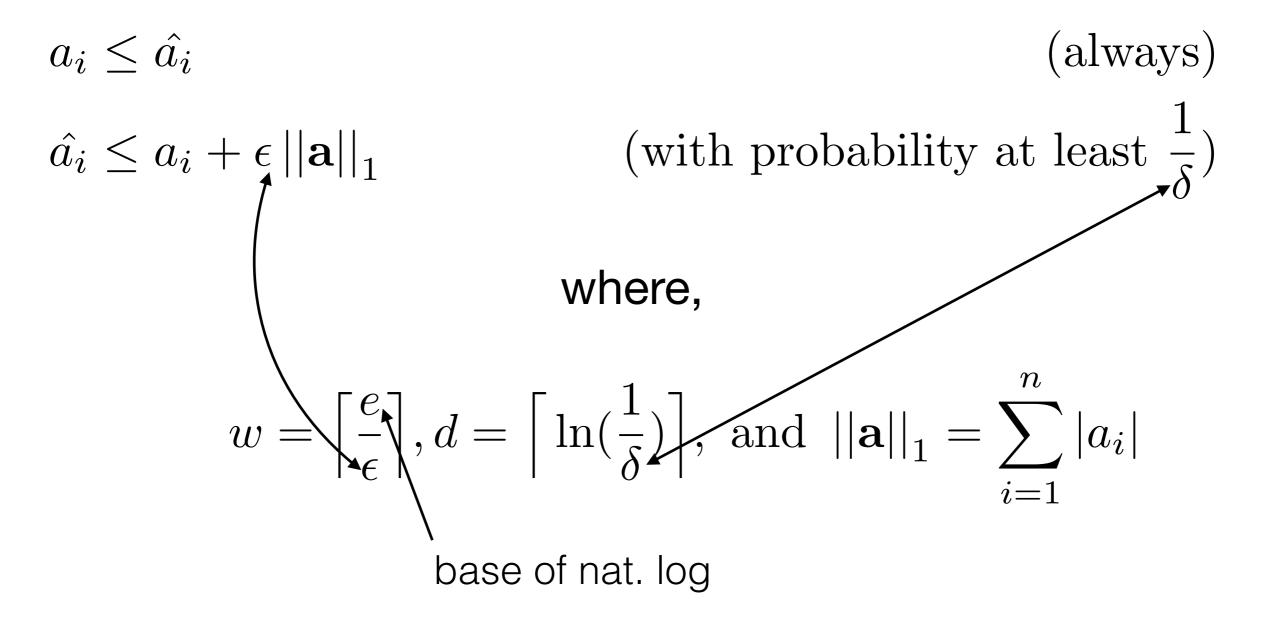
Both are O(d) operations



Intermezzo : The Count Min Sketch

Similar error analysis to Bloom filters (won't prove bounds)

Let \hat{a}_i be the result returned by Query(i). We have that:



Sub-linear Sequence Search via a Repeated And Merged Bloom Filter (RAMBO)

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arXiv.org > q-bio > arXiv:1910.04358

(Submitted on 10 Oct 2019 (v1), last revised 11 Oct 2019 (this version, v2))

Quantitative Biology > Genomics

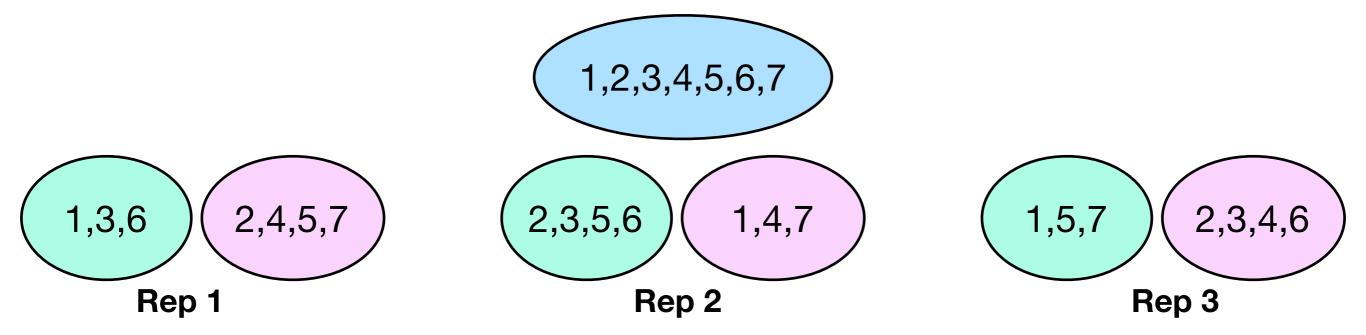
Main idea : Create a CMS of **Bloom Filters** rather than a CMS of counters. To test membership of a set of k-mers, test them in each of the Bloom filters and look at the datasets in the intersection of results.

CMS counters → CMS Bloom Filters

Insertion : $+ \rightarrow$ Insert in Bloom filters (set bits for each k-mer in each filter to 1)

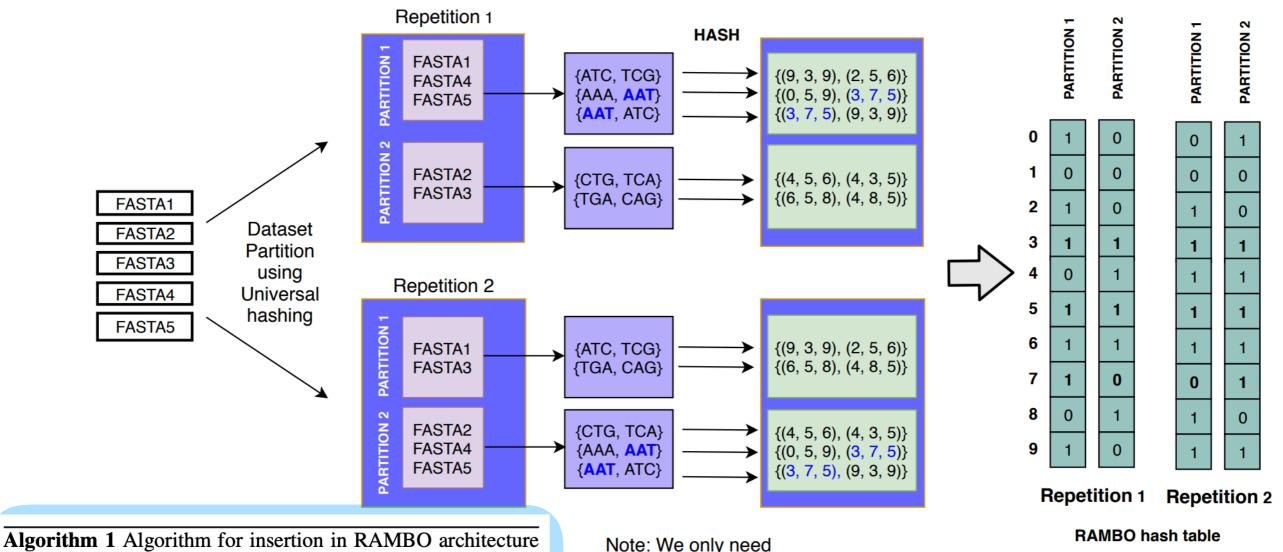
Query : min(.) \rightarrow Take intersection of all datasets (within each partition & repetition) that answer yes for the hash positions corresponding to this k-mer.

Key : Will partition the universe of datasets into W disjoint sets, and will repeat this process D times. When we issue a query, we will look at the datasets that, for each repetition, have the same "partition signature" as the query. Over many random trials (repetitions), the probability that a dataset appears in each partition shared with the query *by chance* falls off geometrically.



What is the probability that a "random" number has partition sig 1,1,2? Additionally, the Bloom filter that falls in each part. In each rep. will have to say "Yes" to the query

```
Algorithm 1 Algorithm for insertion in RAMBO architecture
  Input: Set \mathcal{D} of N databases of k-mers
  Given: Parameters W \times D and false positive rate p
  Result: RAMBO (size: W \times D)
  Generate D partition hash functions ph_1(\cdot), ..., ph_D(\cdot)
  RAMBO \leftarrow W \times D array of Bloom filters
  while Input FASTA-i do
     for k-mer x \in FASTA_i do
       for d = 1, ... D do
          insertBloomFilter(x, RAMBO[ph_d(x), d])
       end for
     end for
  end while
```

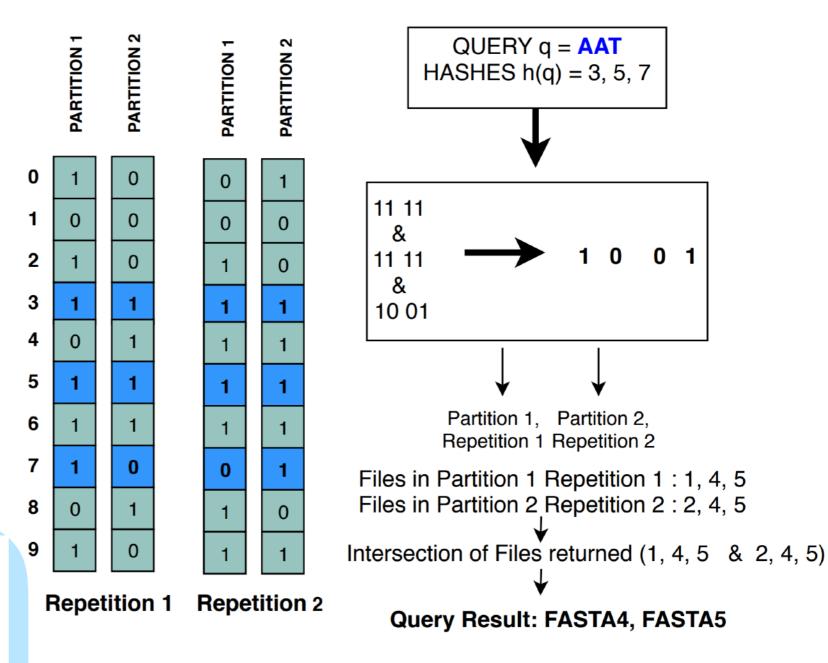


Note: We only need to hash k-mers once

Input: Set \mathcal{D} of N databases of k-mers **Given:** Parameters $W \times D$ and false positive rate p **Result:** RAMBO (size: $W \times D$) Generate D partition hash functions $ph_1(\cdot), ...ph_D(\cdot)$ RAMBO $\leftarrow W \times D$ array of Bloom filters while Input FASTA-i do for k-mer $x \in$ FASTA_i do for d = 1, ...D do insertBloomFilter(x, RAMBO[$ph_d(x), d$]) end for end for end for end while

Partition datasets into W (here = 2) disjoint partitions, and repeat the insertion process D (here = 2) times. Each partition within each repetition corresponds to a Bloom filter (column).

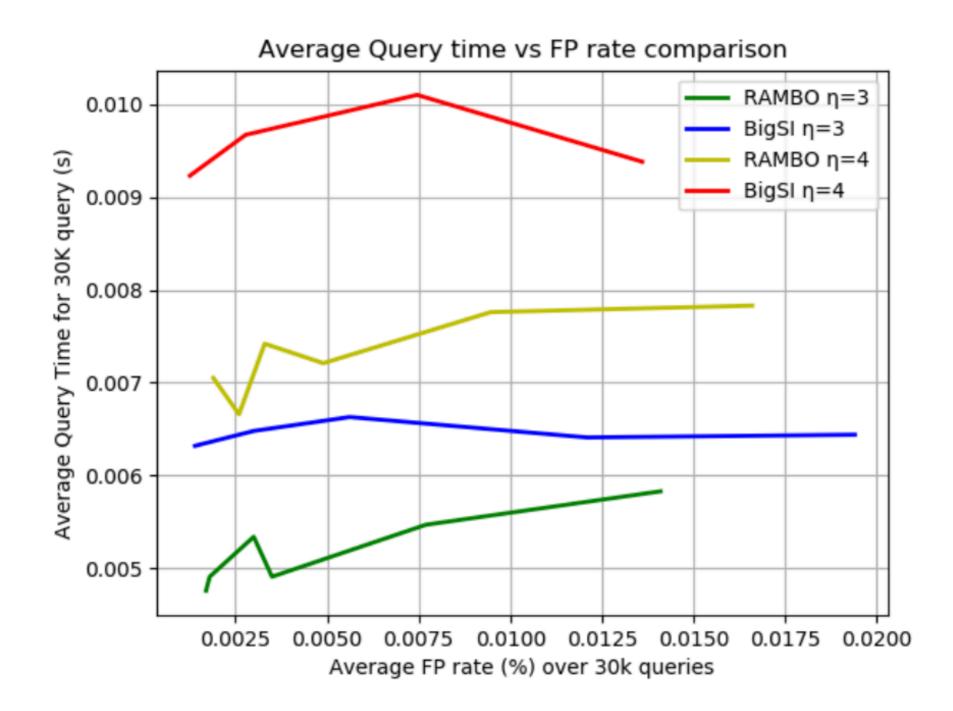
```
Algorithm 2 Algorithm for query using RAMBO architecture
  Input: gene sequence q
  Given: RAMBO bit matrix M (size: W \times D)
  Result: Set of datasets, each of which contains q.
  UNION = 0
 for d = 1 : D do
    ID = h_d(q)
    bitslice_d = get row number ID from M
    UNION = bitslice_d (Bitwise OR) UNION
  end for
  INTERSECTION = Everything
  for i such that UNION[i] = 1 do
    INTERSECTION = INTERSECTION \cap Partition[i]
  end for
  return INTERSECTION
```



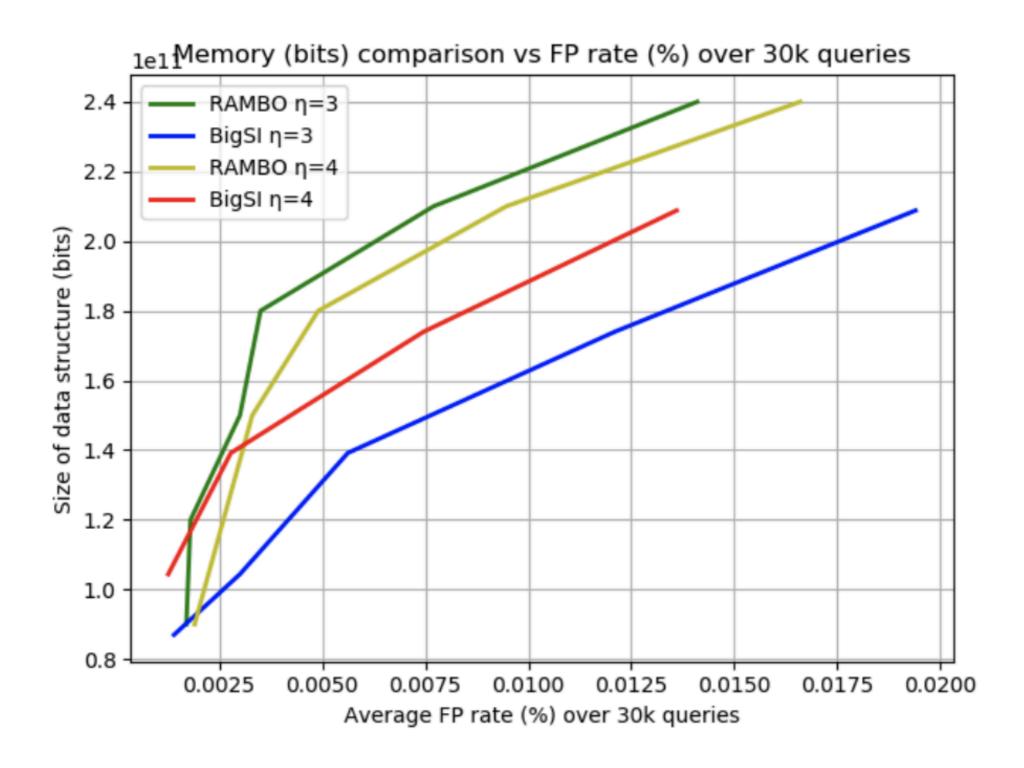
Algorithm 2 Algorithm for query using RAMBO architecture

Input: gene sequence q **Given:** RAMBO bit matrix M (size: $W \times D$) **Result:** Set of datasets, each of which contains q. UNION = 0 **for** d = 1 : D **do** ID = $h_d(q)$ $bitslice_d$ = get row number ID from M UNION = $bitslice_d$ (Bitwise OR) UNION **end for** INTERSECTION = Everything **for** i such that UNION[i] = 1 **do** INTERSECTION = INTERSECTION ∩ Partition[i] **end for return** INTERSECTION

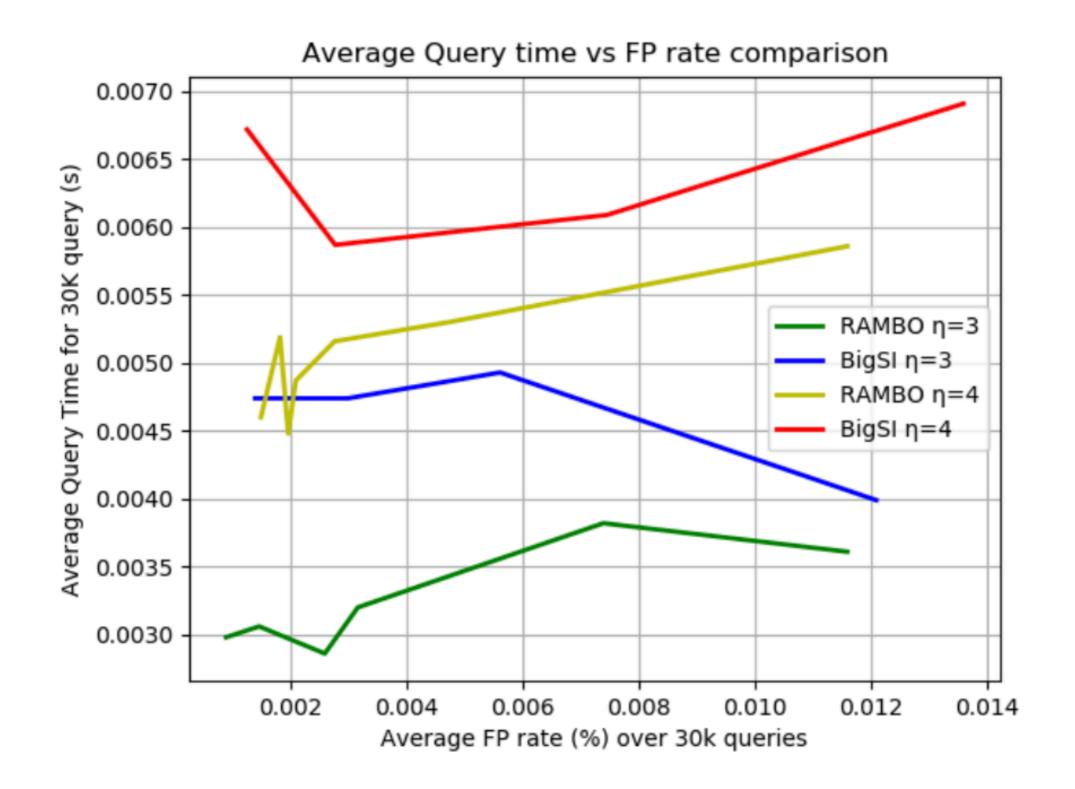
Tested index & query using random subsets of size 3,480 and 2,500 from 136,602 "unique" assemblies from NCBI RefSeq. **Note**: The index here is built over assembled references, not raw queries. These data have *massively* different properties, so don't compare this 3,480 / 2,500 to the 2,586 experiments from the SBT / SSBT / All Some paper.



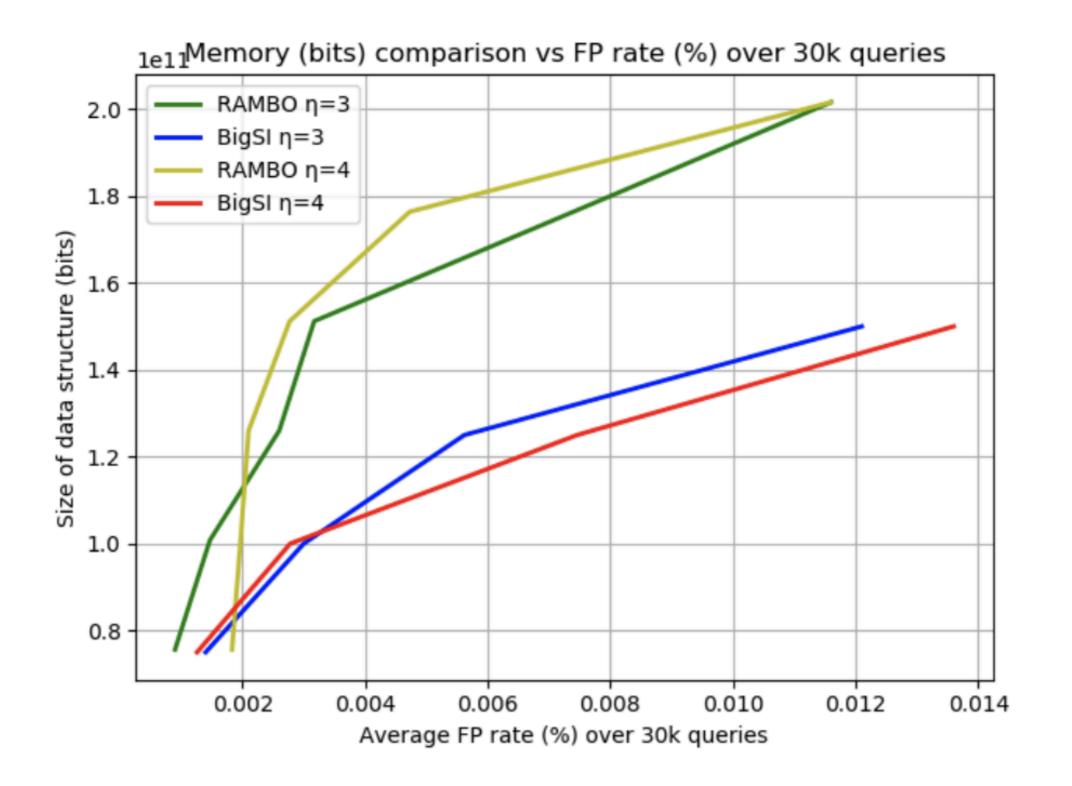
3,480 file index



3,480 file index



2,500 file index



2,500 file index

Some major benefits of RAMBO:

Query scales sub-linearly in # of datasets

Adding a new datasets doesn't require adding a new column to the index (as in BIGSI) — though it does increase the False Positive Rate.

Take-home message for LLSC part 1

Large-scale sequence search is a new but rapidlygrowing field.

There is no "clear" best solution yet.

It's an exciting place to try out new things.

Unfortunately, the cost of entry is high, since even getting the data to perform experiments has a large associated cost.